

Cotswold District Council - Corporate Risk Register

Overarching strategic risks													
Risk ID	Description of Risk/Opportunity	Initial Risk Assessment			Existing Control, Mitigation or Contingency	Previous Residual Risk Score			Residual Risk Assessment			Direction of Travel	Risk Response & Further Action
		impact	likelihood	score		impact	likelihood	score	impact	likelihood	score		
CRR-016	<p>If the government does not provide timely and adequate guidance on the proposed changes to the planning system then the Council will be unable to identify the type of Local Plan update that is required. Delays to preparing an updated Local Plan may result in an increase of speculative planning applications on the fifth anniversary of the local plan's adoption (2023).</p> <p>Risk Logged: April 2008</p> <p>Risk Owner: Chief Executive Responsible Officer: Publica Business Manager for Localities</p>	4	4	16	<ul style="list-style-type: none"> <li>Local Plan Adopted in Aug 2018.</li> <li>Local Plan Programme Board reconvened with updated Terms of Reference and membership</li> <li>* Cabinet approved Local Development Scheme in 2021, which confirms LP update key milestones and estimated delivery date.</li> </ul>	3	1	15	3	5	15	→	<p>08-June-2021 (remains relevant at Jan 2022) Partial update of Local Plan has restarted. However, the Government announced in May 2021 (via the Queen's Speech) its intention to publish a Planning Bill in the autumn 2021 and carry forward many of the proposed changes suggested by its white paper. The exact details are unknown at this stage but suggested changes are not comparable (in terms of scale and fundamentals) with recent updates and it will have a notable impact on the town planning profession and the Council's statutory duties. The Council's approach to partially updating the Local Plan (rather than a full update) is finely balanced but it continues to offer the most expeditious route to deliver Corporate Strategy actions. This position will need to be reviewed in light of the eventual Planning Bill.</p> <p>21-Apr-2021 Risk of speculative applications has reduced in the short term. Forthcoming request will be made to Cabinet to reboot the partial update of the local plan project</p>

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Financial management & control													
Risk ID	Description of Risk/Opportunity	Initial Risk Assessment			Existing Control, Mitigation or Contingency	Previous Residual Risk Score			Residual Risk Assessment			Direction of Travel	Risk Response & Further Action
		impact	likelihood	score		impact	likelihood	score	impact	likelihood	score		
CRR-014	<p>If the Government imposes legislative changes that are not expected then it could have an impact on the Council's finances and other resources.</p> <p>Risk logged: April 2015</p> <p>Risk Owner: S.151 Officer Responsible Officer: S.151 Officer</p>	4	4	16	<ul style="list-style-type: none"> <li>• Horizon scanning</li> <li>• Professional publications</li> <li>• Four year funding settlement</li> </ul>	3	5	15	3	5	15	→	<p>9-June-2021 (remains relevant at Dec 2021) The Council continues to respond to the Government's requirements of local authorities to implement initiatives related to Covid-19. The Government has provided some funding for additional costs and lost income for 2021/22.</p> <p>Officers are reviewing Government consultation on the Environment Bill and are drafting a response setting out the implications of the proposals both operational and financial. The financial implications could be significant e.g. provision of a free garden waste collection service for all residents. If new burdens funding linked with the Environment Bill is not sufficient to offset additional costs of collection and lost income the impact will fall upon the Council</p>
CRR-019	<p>If there are insufficient resources to deliver the objectives of the new Corporate Strategy and Plan then the expectations of our communities may not be met resulting in lower satisfaction and reputational damage.</p> <p>Risk logged: August 2014</p> <p>Risk Owner: S.151 Officer Responsible Officer: S.151 Officer</p>	3	3	9	Medium Term Financial Strategy	3	3	9	3	3	9	→	<p>9-Jun-2021 (remains relevant at Dec 2021) . The financial implications of the Council's new Corporate Strategy have been included in the Medium Term Financial Strategy. The latest MTFS was approved by Council in February 2021 and includes additional funding for resources to support the Council's new priorities which were identified in 2020 within the revised MTFS (Sept 2020) and within the Recovery Investment Strategy which also allocates capital funding for investment in Council Priorities and to provide a revenue stream to the Council.</p> <p>This risk links to risk CRR-D02-028 regarding the Local Government Funding Settlement over the Medium Term. This is the biggest risk to the deliverability of the objectives in the new Corporate Strategy.</p>

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CRR-028	<p>If the Local Government settlement over the medium term is unfavourable then the Council's savings target may need to increase.</p> <p>Risk logged: July 2015</p> <p>Risk Owner: S.151 Officer Responsible Officer: S.151 Officer</p>	4	4	16	<ul style="list-style-type: none"> <li>• Medium Term Financial Strategy</li> <li>• Only legacy Payments of New Homes Bonus in MTFS</li> <li>• Publica Transformation Programme</li> <li>• Recovery Investment Strategy approved by Council Sept 20</li> <li>Environmental Services Improvement Programme</li> </ul>	3	3	9	3	3	9	→	<p>9-Jun-2021 (remains relevant at Dec 2021) . The MTFS was approved in Feb 2021 and an updated version will be presented to Council in Feb 2022. The Provisional LG Settlement for 2022/23 has been announced and is essentially a roll over of funding for 2021/22. The significant changes to LG Funding from Business Rate Retention and the Fairer Funding Review has been delayed until 2023/24 and will be reflected in the upThe date of the MTFS due to be approved by Council in Feb 22. MTFS includes savings targets to address changes to government funding.</p> <p>The Council approved a Recovery Investment Strategy in Sept 20 which aims to increase income to the Council to mitigate against reduced government funding. An Environmental Services Improvement Programme has been established to develop options for reducing the cost of the waste and recycling services.</p>
CRR-018	<p>If unavoidable budget pressures exceed provision within the MTFS then the Council may need to: find additional income or savings, use its reserves, or there may be pressures on services or tax levels and agreed budget targets will not be achieved.</p> <p>Risk logged: May 2010</p> <p>Risk Owner: S.151 Officer Responsible Officer: S.151 Officer</p>	3	3	9	<p>Service Delivery Planning</p> <p>Budgetary control system</p> <p>Publica Strategic Directors/Group Managers and Business Managers engaged in updated of budget and MTFS</p> <p>Key variances reported to Cabinet and Overview and Scrutiny Committee</p>	3	3	9	3	3	9	→	<p>9-Jun-2021 (remains relevant at Dec 2021) . The 2021/22 budget includes provision for the impact of Covid-19 on income and costs. Key service providers (Publica, Ubico and SLM) have been engaged in the 2021/22 budget and have also fed in to the budget for 2022/23 which is due to approved in February 2022.</p> <p>The Council has determined its Priorities and the financial resources required to enable the Council to deliver against its priorities had been included in the MTFS and associated 2021/22 budget.</p> <p>Members and Officers are working on a plan to increase income to the Council to fund both new objectives from the new Corporate Strategy and to bridge the expected funding gap from 23/24 as a result of changes to local government funding.</p>
CRR-024	<p>If the Council is unable to meet the savings required to balance the budget then it may need to make unplanned use of revenue reserves, raise council tax, find further savings and/or cut services.</p> <p>Risk logged: January 2011</p> <p>Risk Owner: S.151 Officer Responsible Officer: S.151 Officer</p>	5	5	25	<ul style="list-style-type: none"> <li>• Regular meetings with Members and Cabinet</li> <li>• MTFS and budget process</li> <li>• Publica Group Managers/Business Managers and Strategic Directors involved in budget process</li> <li>• Publica Transformation Programme</li> <li>• Recovery Investment Strategy</li> </ul>	3	3	9	3	3	9	→	<p>19-Jan-2022 Impacts on income resulting from the Covid-19 which include leisure services management fee, rental and parking incomes have been considered and incorporated into the 2021/22 budget.</p> <p>The level of savings required as a result of changes to local government funding which will now come into effect in 2023/24 remains unclear. The Council has approved a Recovery Investment Strategy and has established an Environmental Services Improvement Programme to deliver the savings, or generate additional income, required from changes to local government funding.</p>

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CRR-002	<p>If the Council fails to meet income targets then it may need to make unplanned use of revenue reserves, raise council tax, find further savings and/or cut services.</p> <p>Risk logged: March 2008</p> <p>Risk Owner: S.151 Officer Responsible Officer: S.151 Officer</p>	3	4	12	<ul style="list-style-type: none"> <li>• Systems of budgetary control</li> <li>• Appropriate marketing of services and consideration of effective charging levels</li> <li>• Project management arrangements</li> </ul>	3	3	9	3	3	9	→	<p>9-Jun-2021 (remains relevant at Dec 2021), 2021/22 budget revised to reflect impact of Covid-19 on income budgets. Budget 2021/22 has been prepared in consultation with Publica officers and reflects reduced income and provides for reduced income in first quarter of the financial year offset by Government funding through Sales and Charges Compensation Scheme.</p>
CRR-017	<p>If the level of pay inflation exceeds provision in the MTFS then the Council may need to make unplanned use of revenue reserves, raise council tax, find further savings and/or cut services.</p> <p>Risk logged: April 2010</p> <p>Risk Owner: S.151 Officer Responsible Officer: S.151 Officer</p>	3	2	6	<p>National negotiations on pay award</p>	2	5	10	2	5	10	→	<p>19 Jan 2022 Budget 2021/22 includes provision for a pay award of 1%. Employers have offered 1.75% which has been rejected by Unions and they are now balloting for industrial action. Impact is 2 due to the scale of the financial impact of the extra 0.75% over budget assumption of 1%. Likelihood of 5 reflecting the fact that the offer is already in excess of budget assumption. Draft budget proposals for 2022/23 include the 1.75% pay award and a provision of 2.5% for inflation in 2022/23.</p>
CRR-027	<p>If Publica does not deliver the agreed objectives in accordance with its business plan then the planned savings for the Council would not be delivered and consequently there would be a risk that services could not be delivered in line with the budget.</p> <p>Risk logged: August 2014</p> <p>Risk Owner: Chief Executive Responsible Officer: Publica Executive Director (Services)</p>	4	3	12	<ul style="list-style-type: none"> <li>• Programme Board</li> <li>• Local Political Support</li> <li>• National Political Support</li> <li>• Early Engagement with employees and Unions</li> <li>• Funding provided to develop detailed business case</li> </ul>	2	2	4	2	3	6	↑	<p>17 January 2022 Increase - after a successful 2020/21 where the outturn demonstrated delivery of the overall savings target of £0.5m and additional one off savings of £0.16m Publica has largely delivered its business case targets. The target for 21/22 is a further £0.5m and whilst some steps are in place to deliver this there are pressures building within the organisation in respect of pay capacity to deliver council objectives. The Council has recently approved some additional resource to help resolve some of these issues and steps are being taken to increase resource and deal with pay issues where the labour market is tight. Any risk of under delivery against the Cotswold share of the target is low and falls within the definition of minor in the policy (less than £100k).</p>
CRR-005	<p>If there is a legal challenge to any of the Council's decisions or actions then there may be financial or policy implications.</p> <p>Risk logged: March 2008</p> <p>Risk Owner: Monitoring Officer Responsible Officer: Publica Head of Legal Services</p>	3	2	6	<ul style="list-style-type: none"> <li>• Managerial advice and supervision</li> <li>• Legal advice and effective role of monitoring officer</li> <li>• Robust internal procedures</li> <li>• 200k in MTFS for planning appeals</li> </ul>	3	2	6	3	2	6	→	<p>No change in rating</p>

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CRR-032	<p>Without clear and robust procurement procedures, Publica and Partner Councils will not benefit from the most economically advantageous procurement opportunities and may fail to comply with the law governing Public Procurement Rules.</p> <p>Risk logged: January 2021</p> <p>Risk Owner: S.151 Officer Responsible Officer: Publica Executive Director for Commissioning</p>	4	3	12	<ul style="list-style-type: none"> <li>• Procurement rules approved by Council.</li> <li>• Publica Procurement Team able to provide procurement advice and assistance on major procurements.</li> </ul>	3	3	6	3	2	6	→	<p>11 Jan 2022- Risk reduced. Draft Procurement and Contract Management Strategy out for consultation with view to be being approved by the end of the 2021 FY.</p>
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Customer Focus													
Risk ID	Description of Risk/Opportunity	Initial Risk Assessment			Existing Control, Mitigation or Contingency	Previous Residual Risk Score			Residual Risk Assessment			Direction of Travel	Risk Response & Further Action
		Impact	Likelihood	Score		Impact	Likelihood	Score	Impact	Likelihood	Score		
CRR-007	<p>If the Council does not consult properly, or Publica does not consult properly on the Council's behalf, then the Council's decisions could be challenged.</p> <p>Risk logged: July 2011</p> <p>Risk Owner: Chief Executive Responsible Officer: Publica Executive Director (Services)</p>	3	3	9	<ul style="list-style-type: none"> <li>• Communication team</li> <li>• Engagement strategy</li> <li>• Neighbourhood coordination meetings</li> <li>• Annual Town &amp; Parish council meetings</li> <li>• Annual Budget consultation</li> </ul>	3	2	6	3	2	6	→	No change in rating.
CRR-031	<p>District Councils are required to provide rest centres for the Public during a Civil Emergency. If staff are unwilling to come forward and volunteer with the running of a rest centre, there is a risk that the Council will be unable to fulfil its duty in providing a safe rest centre.</p> <p>Risk logged: January 2021</p> <p>Risk Owner: Chief Executive Responsible Officer: Publica Business Manager for Corporate Responsibility</p>	4	2	8	<ul style="list-style-type: none"> <li>• Small team of volunteers established (although some have left Publica in recent months). Training and support provided by the County Civil Protection Team.</li> <li>• New staff have emergency planning incorporated in Job Roles</li> <li>• Mutual aid can be called upon</li> <li>• It is becoming more common place to use hotels as opposed to rest centres</li> </ul>	3	2	6	2	2	4	↓	11 Jan 22 - risk reduced - emergency planning documents have now been updated - county council position now confirmed and small team of volunteers now set up
CRR-033	<p>If 'Leisure' contractor does not meet their obligations under key contracts then it could lead to a fall in service standards, reduced customer service, a failure to meet legal requirements or an increase in costs to the Council.</p> <p>Risk logged: January 2022</p> <p>Risk Owner: S.151 Officer Responsible Officer: Publica Group Manager for Commissioning</p>	4	4	16	Robust and effective contract management approach including regular meetings with key contractors	4	3	12	4	3	12	→	<p>Jan 2022 The impact of Covid 19 on our leisure provider has been very significant and fully reported elsewhere within the Council.</p> <p>The Council has been partially recompensed by the government for the loss of some of this income but the ongoing impact remains a financial risk to the council.</p> <p>Leisure service is recovering steadily with increasing use of the leisure centres however, it's still unclear on what effect a significant increase in infection. The Contracts Team conduct frequent meetings with the various contractors and so are sighted on major issues/risks to the service which are then fed back to the Council accordingly.</p> <p>14.01.22 Lower usage was seen in December as is traditionally the case, but usage recovery is comparable to the current national trend. It is unclear what affect further waves of the pandemic will have on the leisure service, but the effective cleansing and control measures implemented at the centres will remain in place.</p>

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Organisational learning, staffing & development													
Risk ID	Description of Risk/Opportunity	Initial Risk Assessment			Existing Control, Mitigation or Contingency	Previous Residual Risk Score			Residual Risk Assessment			Direction of Travel	Risk Response & Further Action
		impact	likelihood	score		impact	likelihood	score	impact	likelihood	score		
CRR-003	If Publica or the Council is unable to recruit suitable staff and retain them, particularly in some key service areas then the level of service delivery may be reduced.  Risk logged: March 2008  Risk Owner: Chief Executive Responsible Officer: Publica Executive Director (Services)	3	4	12	<ul style="list-style-type: none"> <li>Financial incentives (market force supplement scheme)</li> <li>Work with partners to address skill shortages</li> </ul>	3	3	9	3	3	9	→	13 Jan 2022 No change in rating. Quarterly performance reports enable any necessary mitigation to maintain service delivery levels to be discussed. A review of recruitment is underway and feedback from managers, staff (both Publica and Retained Council staff) clients and Councillors is being considered to help ensure the service meets users' needs and is both flexible and modern going forward. Career-graded posts are being introduced into a number of service areas to assist with retention and development of staff. A new professional development training offer has recently been promoted to staff as another retention measure.
CRR-009	If staff morale and motivation is low in Publica then the level of service delivered may be reduced in some services.  Risk logged: March 2008  Risk Owner: Chief Executive Responsible Officer: Publica Executive Director (Services)	4	5	20	<ul style="list-style-type: none"> <li>Communication</li> <li>Comprehensive consultation and engagement process</li> <li>Change management training</li> <li>Joint Liaison Forum</li> <li>2020 Engagement Strategy</li> <li>Gloucestershire Healthy Workplace Accreditation Award</li> <li>Publica recognised as a Real Living Wage employer</li> </ul>	3	3	9	3	2	6	↓	13 Jan 2022 The IIP report identified some areas of weakness for us to work on and we held a Q&A session post sharing of IIP report. We have held some informal staff lunch get togethers to help re-introduce staff to the office environment and re-connect with colleagues face to face. We are delivering some key actions, including a new staff forum and relaunching the Trade Union liaison forum to help address some of the shortcomings identified in the IIP report.
CRR-011	If key Officers in the Council (such as the Chief Executive, Deputy Chief Executive or Monitoring Officer) are not available, the Council may not be able to respond effectively to urgent matters which could result in reputational or financial damage.  Risk logged: July 2019  Risk Owner: Chief Executive Responsible Officer: Publica Executive Director (Services)	4	2	8	<ul style="list-style-type: none"> <li>Deputy CFO and Monitoring Officers in place</li> <li>Support from Shared Legal Services team-employed by the Publica Partner Councils</li> <li>Support from professionals within Publica (e.g. Strategic Directors, Group Managers, Accountants, HR)</li> <li>Support available from other Statutory Officers from across the Publica Partner Councils</li> <li>Effective working relationships between Officers and Cabinet Members</li> <li>Risk management processes</li> </ul>	3	2	6	3	2	6	→	No change to rating.

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Business processes													
Risk ID	Description of Risk/Opportunity	Initial Risk Assessment			Existing Control, Mitigation or Contingency	Previous Residual Risk Score			Residual Risk Assessment			Direction of Travel	Risk Response & Further Action
		impact	likelihood	score		impact	likelihood	score	impact	likelihood	score		
CRR-001	<p>If the Council's data is of poor quality or it does not make appropriate use of its data then the decisions it makes may be flawed.</p> <p>Risk logged: March 2008</p> <p>Risk Owner: Responsible Officer: Publica Group Manager for Strategic Support</p>	3	4	12	<ul style="list-style-type: none"> <li>Internal processes and self assessments</li> <li>Internal audit assurance and support</li> <li>Dedicated staff resource on performance management and data quality</li> <li>Performance Management Framework</li> </ul>	3	3	9	3	3	9	→	<p>Additional mitigation has been put in place as part of a collective effort between Corporate Performance and Business Intelligence Teams to improve the accuracy and speed at which KPI data can be collected (moving some of the information into a live environment). A working group has been set up involving members of the scrutiny committee to develop improved assurance methods and metrics for councils relating to public services. Prototyping is in progress in relation to a live data assurance framework for Publica Services.</p>
CRR-016	<p>If the Council does not comply with relevant Information Management legislation including the new GDPR and Transparency Agenda then the government may intervene which could have a reputational impact on the Council.</p> <p>Risk logged: April 2015</p> <p>Risk Owner: Monitoring Officer Responsible Officer: Publica Data Protection Officer</p>	3	4	12	<ul style="list-style-type: none"> <li>The Council has a Caldicott Guardian in place (DPO)</li> <li>The Council has a Data Protection Officer.</li> <li>The Council manages information through the Governance Group</li> <li>The Council has a suite of information governance policies.</li> <li>The Council has information sharing agreements and protocols in place.</li> <li>The Council has taken part in a "Big Data" project without any risks materialising.</li> </ul>	3	3	9	3	3	9	→	<ul style="list-style-type: none"> <li>Data Protection Policy approved by Council and updated periodically</li> <li>Data protection training and updates for new and existing staff</li> <li>Member Training on responsibilities under appropriate Code of Conduct for new and existing Members</li> <li>Certification obtained on disposal of confidential information - Information Asset Register in place</li> <li>Records Retention Policy in place and implemented - Data sharing protocols in place and implemented</li> <li>Data protection considerations identified for all new projects and processes - Software systems fully GDPR compliant</li> <li>Compliant use of email by staff/Members and document management arrangements</li> <li>Statutory Data Protection Officer in place</li> <li>Additional GDPR guidance produced for all staff in light of move to working from home, including as a result of increased home working due to Covid 19 pandemic</li> <li>Data security threats (e.g through phishing) to addressed in planning Assimilation training to all staff</li> </ul>

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CRR-011	<p>If the Council's IT System / infrastructure failed due to cyber attacks and/or virus then system performance could be reduced leading to poor service delivery/financial impact.</p> <p>Risk logged: May 2013</p> <p>Risk Owner: Responsible Officer: Publica Group Manager for Business Support</p>	3	3	9	<p>Preventative measures introduced such as blocking of USB and other devices</p> <p>Undertaken and passed a central government ICT audit, meeting the very high standards set for network security</p> <p>Introduction of new / revised joint policies</p> <p>Periodic staff awareness training</p> <p>BCP in place and reviewed &amp; tested</p>	3	2	6	3	2	6	→	<p>No change in Risk rating.</p> <ul style="list-style-type: none"> <li>• All partner Councils achieved PSN accreditation in Oct 2020</li> <li>• Publica to achieve Cyber Essentials re- accreditation in Sept 2021</li> <li>• Ongoing network Internal &amp; External Penetration checks</li> <li>• Regular review of User Privileges</li> <li>• Progress on the Cyber Security updates and Action Plan is being reported to the Council and Publica on a regular basis.</li> <li>• Continual update Information Asset Register</li> <li>• Additional Phishing awareness training to educates employees on how to spot and report suspected phishing attempts</li> <li>• Continual Password Audits across our network to evaluate weak passwords</li> <li>• Periodic reviews Business Continuity &amp; Disaster Recovery Plans</li> <li>• Continual review of staff development</li> </ul>
CRR-012	<p>If there is a loss of data (both on site and as a result of remote/mobile working) / security failure in our IT systems then it could lead to a reduced level of service and have a negative impact on the Council's reputation and finances.</p> <p>Risk logged: May 2013</p> <p>Risk Owner: S.151 Officer Responsible Officer: Publica Group Manager for Business Support</p>	3	3	9	<ul style="list-style-type: none"> <li>• Preventative measures introduced such as blocking of USB and other devices</li> <li>• Undertaken and passed a central government ICT audit, meeting the very high standards set for network security</li> <li>• Introduction of new / revised joint policies</li> <li>• Periodic staff awareness training</li> <li>• BCP in place and reviewed &amp; tested</li> </ul>	3	2	6	3	2	6	→	<p>No change in rating.</p> <ul style="list-style-type: none"> <li>• All Councils have PSN accreditation, which compliments the Cyber Essential Plus.</li> <li>• The online training programme has now been completely rolled out which is helping to reinforce the need for staff to be aware of their responsibilities with regards to data security, passwords and GDPR.</li> <li>• Additional Phishing awareness training to educates employees on how to spot and report suspected phishing attempts has also been provided.</li> </ul>
CRR-034	<p>If 'Waste' contractor does not meet their obligations under key contracts then it could lead to a fall in service standards, reduced customer service, a failure to meet legal requirements or an increase in costs to the Council.</p> <p>Risk logged: January 2022</p> <p>Risk Owner: S.151 Officer Responsible Officer: Publica Group Manager for Commissioning</p>	5	4	20	<p>Robust and effective contract management approach including regular meetings with key contractors</p>	4	3	12	4	3	12	→	<p>An agreement has been reached to compensate the Council for wheeled bin failures previously reported on this item. With the vaccine rollout and lower infection rate the risks to the frontline contracted waste services are reducing however, it is too early to say what affect further variants of the virus would have. The contractor has remainind cautious and maintained the crew bubbles, social distancing while in the depots and effective cleansing of cabs to control any potential infection. The Contracts Team conduct frequent meetings with the contractor and so are sighted on major issues/risks to the service which are then fed back to the Council accordingly.</p> <p>14.01.22 Whilst there is 1 case of omicron, the staff member is isolating and therefore this is protecting other staff members effectively. It is unclear what effects further variants of the virus will have, but the effective control measures will remain in place.</p>

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Longer Term Risks													
Risk ID	Description of Risk/Opportunity	Initial Risk Assessment			Existing Control, Mitigation or Contingency	Previous Residual Risk Score			Residual Risk Assessment			Direction of Travel	Risk Response & Further Action
		im	lik	sc		im	lik	sc	im	lik	sc		
CRR-002	<p>If Health and Safety procedures and risk assessments are not in place /being followed then staff could be injured undertaking Council duties which would impact on their health and wellbeing, affect their ability to work and create liability issues for the Council</p> <p>Risk logged: April 2010</p> <p>Risk Owner: Chief Executive Responsible Officer: Publica Group Manager for Business Support</p>	5	3	15	<ul style="list-style-type: none"> <li>Health and Safety procedures</li> <li>Access to weather forecasts</li> <li>Lone workers policy</li> <li>Business Continuity Plans</li> </ul>	4	2	8	4	2	8	→	<p>No change in rating. All H&amp;S policies &amp; guidance notes have been updated and signed of by the Chief Executive / Managing Director for sign off. The Local H&amp;S Committee which comprises of the H&amp;S Team, HR, Chief Execs and union reps meet on a quarterly basis to review risk assessment and policies to ensure ongoing compliance. A new Fire Evac procedure is currently being developed and will be rolled out across all the Council's buildings in Nov / Dec.</p>

Opportunities													
ID	Description of Opportunity	Initial Assessment			Existing measures to ensure opportunity will be successfully exploited	Previous Residual Score			Residual Assessment			Direction of Travel	Response & Further Action
		im	lik	sc		im	lik	sc	im	lik	sc		
		im	lik	sc		im	lik	sc	im	lik	sc		

Key to Officers

Risk Owner

S.151 Officer: Jenny Poole

Monitoring Officer: Angela Claridge

Chief Executive: Robert Weaver

Responsible Officer

Publica Group Finance Director: Frank Wilson

Publica Executive Director - Service Delivery: Sue Pangbourne

Publica Forward Planning Manager: James Brain

Publica Head of Legal Services: Susan Gargett

Publica Group Manager for Commissioning: Claire Locke

Publica Group Manager for Strategic Support: Andy Barge

Publica Group Manager for Business Support: Phil Martin

Publica Business Manager for Data and Growth: Stuart Rawlinson

Publica Data Protection Officer: Tony Oladejo

Publica Business Manager for Development Control: Phil Shaw